



## FORM PTO - 1449 (Modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use Several Sheets if necessary)

(37 CFR 1.98(b))

ATTY. DOCKET NO.  
12099SERIAL NO.  
10/036,679APPLICANT  
EDWARD M. DEXHEIMERFILING DATE  
DECEMBER 21, 2001GROUP ART UNIT  
1713

EXAMINER

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE
PK	3,697,496	10/10/72	UENO ET AL.	526	186	
PK	6,383,970	05/07/02	MIMURA ET AL.	502	162	

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINE R INITIAL	DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANS- LATION
PK	JP 7130705	9/6/71	JAPAN	-	-	YES NO
PK	DE 1937728	02/19/70	GERMANY	-	-	
PK	DE 10030413	03/01/01	GERMANY	-	-	

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of publication)

EXAMINER INITIAL	PK	PCT SEARCH REPORT DATED OCTOBER 21, 2002.
PK	PK	CHEMICAL ABSTRACTS, VOL. 76, NO. 10, 06 MARCH 1972, UENO ET AL.: "POLYMERISATION CATALYSTS FOR ALKYLENE OXIDES" XP002217502 ABSTRACT & JP 07 130405 A (SUMITOMO CHEMICAL CO. LTD.)
EXAMINER	P. Kemp	DATE CONSIDERED 7/9/03

Examiner: Initial citation considered. Draw a line though citation if not in conformance and not considered. Include copy of this form with next communication to the applicant

FORM1449

REV-11/11/97 ☒RECEIVED  
DEC 19 2002  
TC 1700RECEIVED  
DEC 23 2002

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DECEMBER 21, 2001GROUP ART UNIT  
1621

EXAMINER

(37 CFR 1.98(b))

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SEP 20 2002

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE
RK	3,657,149	4/18/72	VANDENBERG ET AL.	502	154	
RK	3,657,159	4/18/72	VANDENBERG ET AL.	528	409	
RK	4,304,729	12/08/81	GRECO ET AL.	566	153	
RK	5,919,988	07/06/99	PAZOS ET AL.	568	679	
	U.S. SERIAL NO. 10/037,958		DEXHEIMER			12/21/01
	U.S. SERIAL NO. 10/036,928		DEXHEIMER			12/21/01

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## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINE R INITIAL	DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANS- LATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of publication)

EXAMINER INITIAL		
RK		HONG-QUAN; RING-OPENING POLYMERIZATION OF EPICHLOROHYDRIN AND ITS COPOLYMERIZATION WITH OTHER ALKYLENE OXIDES BY QUATERNARY CATALYST SYSTEM; DEPARTMENT OF CHEMISTRY, HUAZHONG UNIVERSITY OF SCIENCE AND TECHNOLOGY; 05/20/00; P-2446-2454; WUHAN
RK		MARK R. MASON; ALISA M. PERKINS; JOURNAL OF ORGANOMETALLIC CHEMISTRY 599 (2000) 200-207; TOLEDO, OHIO
EXAMINER	DATE CONSIDERED	
R. Kemp	9/9/03	

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